

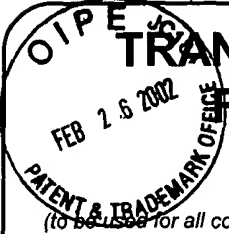
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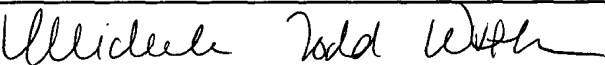
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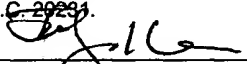
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|  <h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="font-size: small;">(to be used for all correspondence after initial filing)</p> | Application Number | 09/955,858 |
| | Filing Date | September 18, 2001 |
| | First Named Inventor | Charles A. Nicolette, et al. |
| | Group Art Unit | 1645 |
| | Examiner Name | Not Yet Assigned |
| Attorney Docket No. | | GZ 2096.00 |

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| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement w/Form PTO-1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copies of 75 References and return Postcard |
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| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | |
|---|---|
| Firm or Individual Name | Antoinette F. Konski Michele Todd Wasmuth McCutchen, Doyle, Brown & Enersen, LLP Three Embarcadero Center, Suite 1800 San Francisco, California 94111 |
| Signature |  |
| Date | February 11, 2002 |

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|  _____ Jocelyn L. Lee | |

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By: Jocelyn L. Lee Name of person signing: Jocelyn L. Lee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Charles A. NICOLETTE, et al.

Serial No.: 09/955,656

Filing Date: September 18, 2001

For: METHOD TO IDENTIFY ANTIBODY
TARGETS

Examiner: Not Yet Assigned

Group Art Unit: 1645

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

I. Timing of the Information Disclosure Statement:

This Information Disclosure Statement is filed:

- ☐ With the new patent application submitted herewith (37 C.F.R. § 1.97(a)).
- ☐ Within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 C.F.R. § 1.491.
- ☒ Before the mailing date of a first Office action on the merits. In the event, however, that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is hereby authorized to charge Deposit Account No. 50-1189 for any fees required pursuant to 37 C.F.R. §§ 1.17(p) or 1.17(i)(1).

This Information Disclosure Statement is filed:

- ☐ After the first Office Action and more than three months after the application's filing date; or PCT national stage date of entry filing but, as far as is known to the

undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No.[] for the fee (\$180) set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

This Information Disclosure Statement is filed:

- ☐ After the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180.00) set forth in 37 C.F.R. § 1.17(i)(1) and a certification as specified in 37 C.F.R. § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the Information Disclosure Statement.

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

II. Copies of the Cited Items:

- ☒ Copies of all of the items listed on the attached Form PTO-1449 are enclosed.
- ☐ Copies of only the following items listed on the attached Form PTO-1449 are enclosed: _____.
- ☐ Copies of those items which are marked with an asterisk (*) in the attached Form PTO-1499 are not supplied because they were previously cited by or submitted to the Patent Office in a prior Application No. _____, filed _____ and relied upon in this application for an earlier filing date under 35 U.S.C § 120. See 37 C.F.R. § 1.98(d).
- ☐ Copies of those items which are marked with an asterisk (**) in the attached Form PTO-1499 were cited in a foreign examination report in a related case. A copy of the search report and the cited references not already of record in this application are attached hereto.

III. Concise Explanation of Relevance:

- ☒ A concise explanation of relevance of the items listed on Form PTO-1449 is not given.
- ☐ A concise explanation of relevance of [some of] the items listed on Form PTO-1449 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached).

IV. Conclusion:

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. 50-1189, Billing Reference No.: 19442-7240 for any additional fees required in connection with the filing of this Information Disclosure Statement. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

DATE: February 11, 2002

Respectfully submitted,

By: 

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|-----------------------------------|---|--|----------------------|
| Form PTO-1449 | FEB 26 2002 PATENT & TRADEMARK OFFICE | Docket No. GZ 2096.00 | Appl. No. 09/955,656 |
| INFORMATION DISCLOSURE STATEMENT | | Applicant(s) Charles A. Nicolette, et al. | |
| (use several sheets if necessary) | | Filing Date: September 18, 2001 | Group Art Unit 645 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date (if appropriate) |
|-------------------|----------|----------|--------------|-----------------|-------|----------|------------------------------|
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| | 8 | 12/09/97 | 5,695,937 | Kinzler et al. | | | |
| | 9 | 05/05/98 | 5,747,299 | Bloom et al. | | | |

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| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Translation YES NO |
|-------------------|----------|----------|--------------|----------------|-------|----------|-----------------------|
| | 10 | 01/05/95 | WO 95/00655 | Graham et al. | | | |
| | 11 | 05/04/95 | WO 95/11984 | Gregory et al. | | | |
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| | 14 | 08/01/96 | WO 96/23060 | Cohen et al. | | | |
| | 15 | 12/11/97 | WO 97/46256 | Webb et al. | | | |
| | 16 | 10/22/98 | WO 98/46785 | Gong et al. | | | |
| | 17 | 04/13/00 | WO 00/20460 | Sahin et al. | | | |

OTHER DOCUMENTS

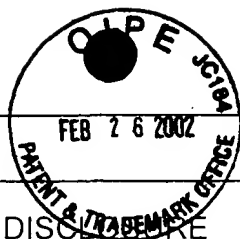
(including author, title, date, pertinent pages, etc.)

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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

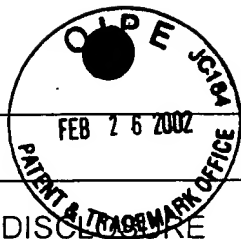


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| Form PTO-1449 | | Docket No. GZ 2096.00 | Appl. No. 09/356,656 |
| INFORMATION DISCLOSURE STATEMENT | | Applicant(s) Charles A. Nicolette, et al. | |
| (use several sheets if necessary) | | Filing Date: September 18, 2001 | Group Art Unit 21645 |
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| Form PTO-1449 | | Docket No. GZ 2096.00 | Appl. No. 09/955,656 |
| INFORMATION DISCLOSURE STATEMENT | | Applicant(s) Charles A. Nicolette, et al. | |
| (use several sheets if necessary) | | Filing Date: September 18, 2001 | Group Art Unit: 1645 |
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| Form PTO-1449 | | Docket No. GZ 2096.00 | | Appl. No. 09/935,656 | |
|  | | Applicant(s) Charles A. Nicolette, et al. | | | |
| INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | | Filing Date: September 18, 2001 | | Group Art Unit: 155 | |
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